## Math 70100: Functions of a Real Variable I Homework 1, due Wednesday, September 10th.

- 1. (Based on Pugh 2.92) Let X be a topological space. Recall that a neighborhood N of  $x \in X$  is a subset  $N \subset X$  so that there is an open set  $U \subset X$  with  $x \in U$  and  $U \subset N$ . A boundary point of a set  $A \subset X$  is a point  $x \in X$  so that every neighborhood of x intersects both A and  $X \setminus A$ . The boundary of A,  $\partial A$ , is the set of all boundary points of A.
  - (a) Show that  $\partial A = X \setminus (\operatorname{Int}(A) \cup \operatorname{Int}(X \setminus A)).$

**Solution:** Recall that Int(A) is the union of all open sets contained in A. Suppose that  $x \notin Int(A) \cup Int(X \setminus A)$ . This is equivalent to saying that no open set containing x is entirely contained in A or  $X \setminus A$ . In other words that x is a boundary point of A. This shows  $X \setminus (Int(A) \cup Int(X \setminus A)) = \partial A$ .

(b) Explain why  $\partial A$  is closed.

**Solution:** Interiors of sets are always open, so  $Int(A) \cup Int(X \setminus A)$  is open and its compliment,  $\partial A$ , is closed.

(c) Show that  $\partial \partial A \subset \partial A$ .

**Solution:** It is more generally true that if  $B \subset X$  is a closed set, then  $\partial B \subset B$ . Because B is closed, its compliment is open. So,  $\partial B = B \setminus \text{Int}(B)$ , which is clearly inside of B.

(d) Show that  $\partial \partial \partial A = \partial \partial A$ .

**Solution:** Again, it is more generally true that if  $B \subset X$  is a closed set, then  $\partial \partial B = \partial B$ . Because B is closed,  $\partial B = B \setminus \text{Int}(B)$ . Since  $\partial B$  is closed, we can apply this trick again:

$$\partial \partial B = \partial (B \smallsetminus \operatorname{Int}(B)) = (B \smallsetminus \operatorname{Int}(B)) \smallsetminus \operatorname{Int}(B \smallsetminus \operatorname{Int}(B)).$$

But, the interior of  $B \setminus \text{Int}(B)$  is contained in the interior of B. So,

 $\partial \partial B \supset (B \smallsetminus \operatorname{Int}(B)) \smallsetminus \operatorname{Int}(B) = B \smallsetminus \operatorname{Int}(B) = \partial B.$ 

Using part (c), we have  $\partial \partial B \subset \partial B$ , so together we see  $\partial \partial B = \partial B$ .

(e) Given an example which illustrates that  $\partial \partial A$  may not equal  $\partial A$ .

**Solution:** Let  $X = \mathbb{R}$  and  $A = \mathbb{Q} \cap [0, 1]$ . Then  $\partial A = [0, 1]$  and  $\partial \partial A = \{0, 1\}$ .

2. (Pugh 2.37) Let C denote the vector space of continuous functions from [0, 1] to  $\mathbb{R}$ . This space can be endowed with the sup (or  $L^{\infty}$ ) norm,

$$|f| = \sup \{ |f(x)| : x \in [0,1] \}$$

or the  $L^1$  norm,

$$||f|| = \int_0^1 |f(x)| \, dx.$$

Consider the identity map between *id* from  $(C, |\cdot|)$  to  $(C, ||\cdot|)$ .

(a) Show that *id* is a continuous. (Thus it is a continuous linear bijection.)

**Solution:** Solution 1: (Based on the metric definition of continuity.) We will show that *id* is continuous at each point of C. Let  $f \in C$ . We will show that for each  $\epsilon > 0$ , there is a  $\delta > 0$  so that  $|f - g| < \delta$  implies  $||f - g|| < \epsilon$ . Choosing  $\delta = \epsilon$  suffices. Suppose  $|f - g| < \epsilon$ . Let  $c = |f - g| \ge 0$ . Then,  $|f(x) - g(x)| \le c < \epsilon$  for each  $x \in [0, 1]$ . So,

$$||f - g|| = \int_0^1 |f(x) - g(x)| \, dx \le \int_0^1 c \, dx = c < \epsilon.$$

**Solution 2:** (Bounded operator argument.) Because we are working with normed vector spaces, it is sufficient to prove that *id* is a bounded linear operator. So, we will show that there is an M > 0 so that for all  $f \in C$ , we have ||f|| < M|f|. Observe that by definition,  $|f(x)| \leq |f|$  for all  $x \in X$ . Therefore,

$$||f|| = \int_0^1 |f(x)| \ dx \le \int_0^1 |f| \ dx = |f|.$$

Thus, id is a bounded linear operator (with M > 1) and therefore id is continuous.

(b) Show that the inverse  $id^{-1}$  is not continuous.

**Solution:** Recall that for a metric space the sequence definition for continuity is equivalent to the topological definition. We will use the sequence definition here. We will find a sequence of functions  $\{f_n\}$  so that  $||f_n||$  tends to zero (and thus  $f_n$  tends to the zero function), but  $|f_n|$  does not tend to zero.

Define  $f_n(x) = \frac{1}{nx+1}$  for integers  $n \ge 1$ . Note that  $f_n$  is positive and decreasing on [0, 1], so  $|f_n| = f_n(0) = 1$  for all n. On the other hand,

$$|f_n|| = \int_0^1 \frac{1}{nx+1} \, dx = \left[\frac{1}{n}\ln(nx+1)\right]_0^1 = \frac{\ln(n+1)}{n}.$$

One can use L'Hôpital's rule to show that  $||f_n|| \to 0$  as  $n \to \infty$ .

3. (Modified from Lang II.5.3a) Let  $\ell^1$  be the set of all sequences  $\alpha = \{a_n\}_{n \in \mathbb{N}}$  of real numbers such that  $\sum_{n \in \mathbb{N}} |a_n|$  converges. Define

$$|\alpha| = \sum_{n \in \mathbb{N}} |a_n|.$$

(a) Prove that  $|\cdot|$  is a norm on  $\ell^1$ .

**Solution:** We will verify that  $|\cdot|$  satisfies the definition of a normed vector space. Recall that

$$|\alpha| = \sum_{n \in \mathbb{N}} |a_n| = \lim_{N \to \infty} \sum_{n=1}^N |a_n|.$$

First we claim that  $|\alpha| \geq 0$ , with equality only if  $a_n = 0$  for all  $n \in \mathbb{N}$ . Observe that the sequence of partial sums  $\sum_{n=1}^{N} |a_n|$  are all non-negative since they are sums of non-negative numbers. Any limit of non-negative numbers is non-negative, so  $|\alpha|$  is non-negative. Now

suppose that for some  $m \in \mathbb{N}$ , we have  $a_m \neq 0$ . In this case the partial sum  $\sum_{n=1}^{m} |a_m|$  is positive. Furthermore,

$$|\alpha| = \sum_{n=1}^{m} |a_m| + \sum_{n=m+1}^{\infty} |a_n|,$$

and the later infinite sum is non-negative by the above remarks. So we conclude that

$$|\alpha| \ge \sum_{n=1}^{m} |a_m| > 0$$

Second, we will show that for  $c \in \mathbb{R}$  we have  $|c\alpha| = |c||\alpha|$ . This is a basic observation about pulling constants out of sums and limits:

$$\begin{aligned} |c\alpha| &= \lim_{N \to \infty} \sum_{n=1}^{N} |ca_n| = \lim_{N \to \infty} \sum_{n=1}^{N} |c| |a_n| \\ &= \lim_{N \to \infty} |c| \sum_{n=1}^{N} |a_n| = |c| \lim_{N \to \infty} \sum_{n=1}^{N} |a_n| = |c| |\alpha| \end{aligned}$$

Third, we need to show that if  $\alpha = \{a_n\}_{n \in \mathbb{N}}$  and  $\beta = \{b_n\}_{n \in \mathbb{N}}$  then  $|\alpha + \beta| \leq |\alpha| + |\beta|$ . This follows from from properties of sums and limits, and the triangle inequality:

$$\begin{aligned} |\alpha + \beta| &= \lim_{N \to \infty} \sum_{n=1}^{N} |a_n + b_n| \le \lim_{N \to \infty} \sum_{n=1}^{N} |a_n| + |b_n| \\ &= \lim_{N \to \infty} \sum_{n=1}^{N} |a_n| + \sum_{n=1}^{N} |b_n| \\ &= \lim_{N \to \infty} \sum_{n=1}^{N} |a_n| + \lim_{N \to \infty} \sum_{n=1}^{N} |b_n| = |\alpha| + |\beta|. \end{aligned}$$

(b) Recall that a sequence  $\{\alpha_n\}$  in a normed vector space is *Cauchy* if given any  $\epsilon > 0$ , there is an  $N \in \mathbb{N}$  so that  $|\alpha_m - \alpha_n| < \epsilon$  for  $m, n \ge N$ . A normed vector space is *complete* if all Cauchy sequences converge. Show that  $\ell^1$  is complete with the norm  $|\cdot|$ .

**Solution:** Let  $\{\alpha_n\}_{n\in\mathbb{N}}$  be a sequence in  $\ell^1$ . Each  $\alpha_n$  is a sequence of real numbers which we denote by  $\{a_{n,k}\}_{k\in\mathbb{N}}$ .

Now suppose  $\{\alpha_n\}_{n\in\mathbb{N}}$  is Cauchy. We first claim that for any  $k \in \mathbb{N}$ , the sequence  $\{a_{n,k}\}_{n\in\mathbb{N}}$  is a Cauchy sequence (in  $\mathbb{R}$ ). To verify this, fix k and let  $\epsilon > 0$ . Then since  $\{\alpha_n\}_{n\in\mathbb{N}}$  is Cauchy, there is an N so that n, m > N implies  $|\alpha_n - \alpha_m| < \epsilon$ . Finally observe that for each n, m > N,

$$|a_{n,k} - a_{m,k}| \le \sum_{j \in \mathbb{N}} |a_{n,j} - a_{m,j}| = |\alpha_n - \alpha_m| < \epsilon.$$

In particular,  $|a_{n,k} - a_{m,k}| < \epsilon$  for m, n > N as needed to show that  $\{a_{n,k}\}_{n \in \mathbb{N}}$  is Cauchy.

The previous paragraph showed that for each  $k \in \mathbb{N}$ ,  $\{a_{n,k}\}_{n \in \mathbb{N}}$  is Cauchy. Since the real numbers are complete, this sequence has a limit, call it  $b_k$ . So we have a sequence  $\beta = \{b_k\}_{k \in \mathbb{N}}$  with the property that  $\lim_{n\to\infty} a_{n,k} = b_k$  for all k.

It remains to show that  $\beta \in \ell^1$  and that  $\alpha_n \to \beta$  as  $n \to \infty$  in the  $\ell^1$ -norm topology.

We make the following:

**Claim 1.** If  $\epsilon > 0$  and N is such that for n, m > N we have  $|\alpha_n - \alpha_m| < \epsilon$ , then for n > N,  $|\alpha_n - \beta| < 3\epsilon$ . (Note that the infinite sums of a non-negative sequence such as

 $|\alpha_n - \beta| = \sum_{k \in \mathbb{N}} |a_{n,k} - b_k|$  always exists in the sense that it must converge to either a real number or  $+\infty$ .)

Suppose that Claim 1 is false. Then we can choose  $\epsilon > 0$  and N so that for n, m > N we have  $|\alpha_n - \alpha_m| < \epsilon$ , but there is an  $n_0 > N$  so that  $|\alpha_{n_0} - \beta| \ge 3\epsilon$ . Then since

$$|\alpha_{n_0} - \beta| = \sum_{k \in \mathbb{N}} |a_{n_0,k} - b_k| = \lim_{K \to \infty} \sum_{k=1}^K |a_{n_0,k} - b_k| \ge 3\epsilon,$$

there is a K so that

$$\sum_{k=1}^{K} |a_{n_0,k} - b_k| > 2\epsilon.$$

Now observe that for any  $k \in \mathbb{N}$  with  $1 \leq k \leq K$ , we have that  $a_{m,k} \to b_k$  as  $m \to \infty$ . Because this is only a finite list of values of k, we can find an M so that  $|a_{m,k} - b_k| < \frac{\epsilon}{K}$  for m > M and each k with  $1 \leq k \leq K$ . By the triangle inequality, for any m > M,

$$\begin{array}{lcl} \sum_{k=1}^{K} |a_{n_{0},k} - b_{k}| &\leq & \sum_{k=1}^{K} \left( |a_{n_{0},k} - a_{m,k}| + |a_{m,k} - b_{k}| \right) \\ &\leq & \sum_{k=1}^{K} \left( |a_{n_{0},k} - a_{m,k}| + \frac{\epsilon}{K} \right) \\ &= & \epsilon + \sum_{k=1}^{K} |a_{n_{0},k} - a_{m,k}| \leq \epsilon + |\alpha_{n_{0}} - \alpha_{m}| < \epsilon + \epsilon = 2\epsilon. \end{array}$$

But this contradicts the earlier statement that  $\sum_{k=1}^{K} |a_{n_0,k} - b_k| > 2\epsilon$ .

We will now show that  $\beta \in \ell^1$ . We need to show that  $\sum_{k \in \mathbb{N}} |b_k| < \infty$ . Since  $\{\alpha_n\}$  is Cauchy, there is an N so that n, m > N implies  $|\alpha_n - \alpha_m| < 1$ . Then by Claim 1, we know that for n > N, we have  $|\alpha_n - \beta| < 3$ . Fix such an n. Then by the triangle inequality,

$$\sum_{k\in\mathbb{N}} |b_k| \le \sum_{k\in\mathbb{N}} \left( |b_k - a_{n,k}| + |a_{n,k}| \right) \le 3 + |\alpha_n| < \infty.$$

Finally, we need to show that  $\alpha_n \to \beta$ . Choose  $\epsilon > 0$ . We will find an N so that n > N implies  $|\alpha_n - \beta| < \epsilon$ . Since  $\{\alpha_n\}$  is Cauchy, we can find an N so that n, m > N implies  $|\alpha_n - \alpha_m| < \frac{\epsilon}{3}$ . By Claim 1, for this N, we have n > N implies that  $|\alpha_n - \beta| < \epsilon$  as desired.

- 4. (Lang II.13) The diagonal  $\Delta$  is the set of all points (x, x).
  - (a) Show that a space X is Hausdorff if and only if the diagonal is closed in  $X \times X$ .

**Solution:** Because this is a finite product, we recall that a basis for the product topology is given by sets of the form  $U \times V$  where U and V are both open in X.

Suppose X is Hausdorff. We will show that the diagonal  $\Delta$  is closed by showing that  $(X \times X) \setminus \Delta$  is open. To see this, it suffices to show that for any (x, y) with  $x \neq y$ , there is an open subset in  $X \times X$  containing (x, y) which does not intersect  $\Delta$ . (The compliment of  $\Delta$  is then the union of such open sets, which must therefore be open.) Fix a pair (x, y) with  $x \neq y$ . Since X is Hausdorff, there are disjoint open sets U and V so that  $x \in U$  and  $y \in V$ . Observe that disjointness implies that  $U \times V$  does not intersect  $\Delta$ . In summary, we have shown that (x, y) lies in the open set  $U \times V$  which is contained in  $X \setminus \Delta$ .

Conversely, suppose that  $(X \times X) \smallsetminus \Delta$  is open. Let  $(x, y) \in (X \times X) \smallsetminus \Delta$ . Then since the sets of the form  $U \times V$  with  $U, V \subset X$  open form a basis for the topology, we know that  $(X \times X) \smallsetminus \Delta$  is a union of such sets. It follows that there is a pair of open sets  $U \times V$  so that  $(x, y) \in U \times V$  and  $U \times V \subset (X \times X) \smallsetminus \Delta$  is open. We conclude that  $U \times V$  is disjoint from the diagonal, which is the same as saying that  $U \cap V = \emptyset$ . Also  $(x, y) \in U \times V$  is the same as  $x \in U$  and  $y \in V$ . This verifies that X is Hausdorff.

(b) Show that a product of Hausdorff spaces is Hausdorff.

**Solution:** Suppose  $\{X_i : i \in \Lambda\}$  is a collection of Hausdorff topological spaces, and endow  $X = \prod_{i \in \Lambda} X_i$  with the product topology. Let  $x \mapsto x_i$  denote the projection  $X \to X_i$ , which is continuous. (Continuity of these maps defines the topology.) Let  $x, y \in X$  be distinct. Then, there is some  $j \in \Lambda$  so that  $x_j \neq y_j$ . Then because the space  $X_j$  is Hausdorff, there are disjoint open sets  $U, V \subset X_j$  so that  $x_j \in U$  and  $y_j \in V$ . By continuity of the map  $\pi_j$ , the sets  $\pi_j^{-1}(U)$  and  $\pi_j^{-1}(V)$  are open. They are also disjoint sets since their images under the map  $\pi_j$  are disjoint. Further,  $x \in \pi_j^{-1}(U)$  and  $y \in \pi_j^{-1}(V)$ , which verifies that X is Hausdorff.

- 5. (Lang II.5.5c) Let X be a metric space. For each  $x \in X$ , define the function  $f_x$  on X by  $f_x(y) = d(x, y)$ . Let  $\|\cdot\|$  be the sup norm.
  - (a) Show that  $d(x, y) = ||f_x f_y||$ .

Solution: By definition,

$$||f_x - f_y|| = \sup \{ |d(x, z) - d(y, z)| : z \in X \}.$$

By taking z = y, we observe that

$$||f_x - f_y|| \ge |d(x, y) - d(y, y)| = d(x, y).$$

Now let  $z \in X$  be arbitrary. We will show that  $|d(x, z) - d(y, z)| \leq d(x, y)$  for every z. From this it follows that  $||f_x - f_y|| \leq d(x, y)$  as required. Fix  $z \in X$ . Observe that we have the triangle inequality,  $d(x, y) + d(y, z) \geq d(x, z)$ . It follows that

$$d(x,z) - d(y,z) \le d(x,y).$$

We also have the triangle inequality,  $d(y, x) + d(x, z) \ge d(y, z)$ . From this it follows that

$$d(x,z) - d(y,z) \ge -d(x,y).$$

Taken together, we see that  $|d(x,z) - d(y,z)| \le d(x,y)$  as needed to show that  $||f_x - f_y|| \le d(x,y)$ .

(b) Let a be a fixed element of X, and let  $g_x = f_x - f_a$ . Show that the map  $x \mapsto g_x$  is a distancepreserving embedding of X into the normed space of bounded functions on X. (*Remark:* This shows that every metric space is isometric to a subset of a normed vector space.)

**Solution:** First we observe that  $g_x$  is a bounded function by the prior part. Indeed, for each

 $x \in X$ ,

$$\sup\{g_x(y) : y \in X\} = ||g_x|| = ||f_x - f_a|| = d(x, a),$$

with the last equality from the prior part. Furthermore, it is distance preserving:

$$||g_x - g_y|| = ||(f_x - f_a) - (f_y - f_a)|| = ||f_x - f_y|| = d(x, y).$$

(It is clearly an embedding (i.e., it is injective), since if  $x \neq y$ , d(x,y) > 0 and therefore  $||g_x - g_y|| > 0$ .)

- 6. (Lang II.5.8ab) Let X be a topological space and E a vector space with norm  $|\cdot|$ . Let M(X, E) denote the set of all maps from X to E. Let B(X, E) denote the set of bounded maps from X to E endowed with the sup norm defined by  $||f|| = \sup\{|f(x)| : x \in X\}$ . Let  $BC(X, E) \subset B(X, E)$  be the set of bounded continuous maps.
  - (a) Show that BC(X, E) is closed in B(X, E).

**Solution:** Observe that B(X, E) is a normed vector space. So, to show BC(X, E) is closed it suffices to prove that given any sequence  $f_n \in BC(X, E)$  converging to  $f \in B(X, E)$ , then f is actually continuous.

(**Remark:** A sequence of functions  $\{f_n\}$  converges to f uniformly if it converges to f in the sup norm  $\|\cdot\|$  as in the statement of the problem. Thus, we are proving a general form of the theorem "a uniform limit of continuous functions is continuous.")

Suppose that  $\{f_n \in BC(X, E)\}$  converges to  $f \in B(X, E)$ . To show f is continuous, it suffices to prove it is continuous at all points of X. So we will show that for all  $x \in X$  and all  $\epsilon > 0$  there is a neighborhood U of x so that  $|f(x) - f(u)| < \epsilon$  for all  $u \in U$ . Pick  $x \in X$  and  $\epsilon > 0$ . Then since  $f_n \to f$ , there is an N so that  $|f_N - f| < \frac{\epsilon}{3}$ . In other words,

$$\sup \{ |f_N(x) - f(x)| : x \in X \} < \frac{\epsilon}{3}$$

Also by continuity of  $f_N$ , there is a neighborhood U of x so that  $u \in U$  implies  $|f_N(u) - f_N(x)| < \frac{\epsilon}{3}$ . Then for  $u \in U$ , we have:

$$|f_N(u) - f_N(x)| < \frac{\epsilon}{3}$$
.  $|f_N(x) - f(x)| < \frac{\epsilon}{3}$ .  $|f_N(u) - f(u)| < \frac{\epsilon}{3}$ .

By use of the triangle inequality, we see that for  $u \in U$ ,

$$|f(x) - f(u)| \le |f(x) - f_N(x)| + |f_N(x) - f_N(u)| + |f_N(u) - f(u)| < \frac{\epsilon}{3} + \frac{\epsilon}{3} + \frac{\epsilon}{3} = \epsilon.$$

Thus, f is continuous at x. Since x was arbitrary, f is continuous.

(b) A Banach space is a complete normed vector space. Show that if E is a Banach space, then B(X, E) is complete.

**Solution:** Let  $\{f_n\}$  be a Cauchy sequence in B(X, E). We claim that it follows that for any  $x \in X$ , the sequence  $\{f_n(x)\}$  is a Cauchy sequence in E. This uses the definition of Cauchy sequence. Let  $x \in X$  be arbitrary. To show  $\{f_n(x)\}$  is Cauchy, we will show that for all  $\epsilon > 0$ ,

there is an N so that n, m > N implies  $|f_n(x) - f_m(x)| < \epsilon$ . Fix some  $\epsilon > 0$ . Since  $\{f_n\}$  is Cauchy, there is an N so that n, m > N implies  $||f_n - f_m|| < \epsilon$ . So by definition of the sup norm,  $|f_n(x) - f_m(x)| \le ||f_n - f_m|| < \epsilon$  as desired.

Now since E is a Banach space and for each  $x \in X$ , the sequence  $\{f_n(x)\}$  is Cauchy, there is a limit which we define to be  $f(x) = \lim_{n \to \infty} f_n(x)$ . This defines a function  $f: X \to E$ .

We claim that  $\{f_n\}$  converges to this new function f in the sup norm (or uniform) topology. Let  $\epsilon > 0$ . We need to show that there is an N so that n > N implies  $||f_n - f|| < \epsilon$ . Since  $\{f_n\}$  is Cauchy, we can define N so that n, m > N implies that  $||f_n - f_m|| < \frac{\epsilon}{2}$ . Fix n > N. Then because f(x) is the limit of  $f_m(x)$  as  $m \to \infty$ , for any  $x \in X$  we have

$$|f_n(x) - f(x)| = \lim_{m \to \infty} |f_n(x) - f_m(x)|,$$

and thus  $|f_n(x) - f(x)| \leq \frac{\epsilon}{2}$  because n > N and by the above remarks. In particular, since x was arbitrary (and N did not depend on x), n > N implies  $|f_n - f| < \epsilon$ , which verifies the definition of convergence.

It remains to show that f is bounded. Since  $f_n$  tends to f, there is an n so that  $|f_n - f| < 1$ . Then  $|f_n(x) - f(x)| < 1$  for all  $x \in X$ . Then, by the triangle inequality, for all  $x \in X$ ,

$$|f(x)| \le |f_n(x)| + |f_n(x) - f(x)| < |f_n(x)| + 1 < |f_n| + 1 < \infty,$$

since  $f_n \in B(X, E)$ .

- 7. Let X be a topological space. Then, X is called *separable* if it has a countable base (or basis) for its topology. A set  $A \subset X$  is *dense* (in X) if its closure  $\overline{A} = X$ .
  - (a) (Lang II.15) Show that a separable space has a countable dense subset.

**Solution:** Remark: Recall that  $X \setminus \overline{A} = \text{Int}(X \setminus A)$ . So, by definition of the interior, A is dense if and only if A intersects every open subset of X.

Suppose X is separable. Then it has a base which can be written as  $\mathcal{B} = \{B_i : i \in \mathbb{N}\}$ . We can assume without loss of generality that  $B_1 = \emptyset$ . The for each integer  $i \ge 2, B_i \ne \emptyset$ , so we can choose a point  $x_i \in B_i$ .

We claim that  $S = \{x_i : i \ge 2\}$  is dense in X. Let  $U \subset X$  be non-empty and open. We must show that there is an point from S inside of U. Since  $\mathcal{B}$  is a basis, there is a subset  $\Lambda \subset \mathbb{N}$ so that  $U = \bigcup_{i \in \Lambda} B_i$ . Then since U is non-empty, there must be an  $i \in \Lambda$  with  $i \ge 2$ . Then  $x_i \in B_i \subset U$ . So S intersects U as claimed.

(b) (Lang II.16a) Show that if X is a metric space and has a countable dense subset, then X is separable.

**Solution:** Let A be a countable dense subset of X. For  $x \in X$  and r > 0, let  $B_r(x)$  denote the open ball of radius r about x. Let  $\mathbb{Q}_+$  denote the positive rationals. Define

$$\mathcal{B} = \{ B_r(a) : a \in A \text{ and } r \in \mathbb{Q}_+ \},\$$

where  $B_r(a)$  denotes the open ball of radius r around  $a \in X$ . Then,  $\mathcal{B}$  is countable because both A and  $\mathbb{Q}$  are countable. ( $\mathcal{B}$  is canonically the image of  $A \times \mathbb{Q}_+$  under the map  $(a, r) \mapsto B_r(a)$ . So, it suffices to recall that  $\mathbb{Q}_+$  is countable, a product of countable sets is countable, and the image of a countable set is countable.)

We claim that  $\mathcal{B}$  is a basis for the metric topology. That is, we need to show that every open set in U is a union of elements of  $\mathcal{B}$ . Since the collection of all balls forms a basis for the metric topology, it suffices to show that for any open ball  $U \subset X$  is the union of elements of  $\mathcal{B}$ . Let  $x_0 \in X$  and let  $r_0 > 0$  be a real number, and define U to be the open ball centered at  $x_0$  of radius  $r_0$ . To prove this it suffices to find for each  $x \in U$  an element  $V_x \in \mathcal{B}$  so that  $x \in V_x$  and  $V_x \subset U$ , because then  $U = \bigcup_{x \in U} V_x$ . Let  $x \in U$ . Then  $d(x, x_0) < r_0$ . Define

$$\epsilon = \frac{1}{2} (r_0 - d(x, x_0)) > 0.$$

Let W be the open ball of radius  $\epsilon$  about x. Then, by density of A there is a point  $a \in A \cap W$ . Observe that  $d(a, x) < \epsilon$ . Since the rationals are dense in  $\mathbb{R}$ , there is a rational r satisfying  $d(a, x) < r < \epsilon$ . Observe that by construction,  $B_r(a) \in \mathcal{B}$  and  $x \in B_r(a)$ . We also claim that  $B_r(a) \subset U$ . To see this let  $y \in B_r(a)$ . Because of our choice of U, it suffices to prove that  $d(y, x_0) < r_0$ . By the triangle inequality, we have

$$d(y, x_0) \le d(y, a) + d(a, x) + d(x, x_0) < r + r + d(x, x_0) < 2\epsilon + d(x, x_0) = r_0.$$

- 8. (Lang II.5.17). An open covering of a topological space X is a collection  $\mathcal{U}$  of open sets so that  $X = \bigcup_{U \in \mathcal{U}} U$ . A subcover is a subset  $\mathcal{V} \subset \mathcal{U}$  which is still a cover (i.e.,  $X = \bigcup_{V \in \mathcal{V}} V$ ).
  - (a) Show that every open covering of a separable space has a countable subcovering.

**Solution:** Suppose X is separable. Then it has a countable basis  $\mathcal{B} = \{B_i : i \in \mathbb{N}\}$ . Let  $\mathcal{U}$  be an open covering.

Define  $J \subset \mathbb{N}$  to be the collection of all  $i \in \mathbb{N}$  so that there is a  $U \in \mathcal{U}$  with  $B_i \subset U$ . Then, for each  $j \in J$ , we can choose a  $U_j \in \mathcal{U}$  with  $B_j \subset U_j$ . We claim that  $\{U_j : j \in J\}$  is a countable subcover. It is clearly countable since any subset of the naturals is countable, and any image of a countable set is countable. It remains to prove that  $\{U_j : j \in J\}$  covers X. Let  $x \in X$ . Then since  $\mathcal{U}$  is a covering, there is a  $U \in \mathcal{U}$  so that  $x \in U$ . Then because  $\mathcal{B}$  is a basis, there is an  $I \in \mathbb{N}$  so that  $x \in B_i$  and  $B_i \subset U$ . But then by definition of J, we have  $i \in J$ . So, in particular,  $x \in B_i \subset U_i$  and  $i \in J$ . Since x was arbitrary  $X \subset \bigcup_{i \in J} U_j$ .

(b) Show that a disjoint collection of open sets in a separable space is countable.

**Solution:** Suppose X is separable. Then it has a countable basis  $\mathcal{B} = \{B_i : i \in \mathbb{N}\}$ . Let  $\mathcal{V}$  be a collection of disjoint open sets.

Similar to the prior part, let  $J \subset \mathbb{N}$  be the collection of all  $i \in \mathbb{N}$  so that  $B_i \neq \emptyset$  and there is a  $V \in \mathcal{V}$  with  $B_i \subset V$ . Observe that J is countable. We claim that for each  $j \in J$ , there is a unique  $V \in \mathcal{V}$  so that  $B_j \subset V$ . To see this suppose  $B_j \subset V$  and  $B_j \subset V'$  with  $V, V' \in \mathcal{V}$ . Then,  $B_j \subset V \cap V'$  and since the sets in  $\mathcal{V}$  are disjoint V = V', which proves uniqueness. So, for  $j \in J$ , we define  $V_j \in \mathcal{V}$  so that  $B_j \subset V_j$ . This defines a map  $\psi : J \to \mathcal{V}$  via  $j \mapsto V_j$ . We claim that the image of this map contains all non-empty elements of  $\mathcal{V}$ . From this it follows that  $\mathcal{V}$  is countable. (Any image of a countable set is countable, and a union of countable sets is countable.) To see surjectivity recall that  $\mathcal{B}$  is a basis. In particular, every non-empty  $V \in \mathcal{V}$  is a union of elements of  $\mathcal{B}$ . If  $B_j$  is a non-empty element in this union, then  $V = V_j$ .

(c) Show that a base (or basis) for the topology of a separable space contains a countable base for the topology. (The red words were added to clarify the question.)

**Solution:** Suppose X is separable. Then it has a countable basis, which we may write as  $C = \{C_i : i \in \mathbb{N}\}$ . Now let  $\mathcal{B}$  be another basis. We will show that there is a countable subset  $\mathcal{S} \subset \mathcal{B}$  which is also a basis. That is, we need to choose a countable  $\mathcal{S} \subset \mathcal{B}$  and show that every open set is a union of elements of  $\mathcal{S}$ . Since C is also a basis, it will suffice to show that each  $C_i$  is a union of elements of  $\mathcal{S}$ . It is sufficient therefore to show that for each  $i \in \mathbb{N}$ , there is a countable  $\mathcal{B}_i \subset \mathcal{B}$  so that

$$C_i = \bigcup_{U \in \mathcal{B}_i} U.$$

Indeed, then  $S = \bigcup_{i \in \mathbb{N}} B_i$  is a basis, and is countable because a countable union of countable sets is countable.

Let  $i \in \mathbb{N}$  be arbitrary. It remains to show that there is a countable  $\mathcal{B}_i \subset \mathcal{B}$  so that  $C_i = \bigcup_{U \in \mathcal{B}_i} U$ . Since  $\mathcal{B}$  is a basis, we can choose a collection  $\mathcal{D} \subset \mathcal{B}$  so that  $C_i = \bigcup_{D \in \mathcal{D}} D$ . Then because  $\mathcal{C}$  is a basis, for each  $D \in \mathcal{D}$ , there is a subset  $J_D \subset \mathbb{N}$  so that  $D = \bigcup_{j \in J_D} C_j$ . Let  $J = \bigcup_{D \in \mathcal{D}} J_D \subset \mathbb{N}$ . Then observe that

$$\bigcup_{j \in J} C_j = \bigcup_{D \in \mathcal{D}} \bigcup_{j \in J_D} C_j = \bigcup_{D \in \mathcal{D}} D = C_i.$$

Now observe that for any  $j \in J$ , we have  $j \in J_D$  for some  $D \in \mathcal{D}$ . In particular then  $C_j \subset D$ . So for each  $j \in J$ , we can choose some  $D_j \in \mathcal{D}$  so that  $C_j \subset D_j$ . Thus, we have defined a map  $J \to \mathcal{D}$  by  $j \mapsto D_j$ . We define  $\mathcal{B}_i$  to be the image of this map;  $\mathcal{B}_i = \{D_j : j \in J\}$ . Since J is countable, we see that  $\mathcal{B}_i$  is countable. We need to show that the union of elements of  $\mathcal{B}_i$  gives  $C_i$ . Clearly since  $\mathcal{B}_i \subset \mathcal{D}$ ,

$$\bigcup_{D\in\mathcal{B}_i} D\subset \bigcup_{D\in\mathcal{D}} D=C_i.$$

For the reverse inclusion, observe that  $C_j \subset D_j$  for  $j \in J$  so

$$C_i = \bigcup_{j \in J} C_j \subset \bigcup_{j \in J} D_j = \bigcup_{D \in \mathcal{B}_i} D.$$

Thus,  $C_i = \bigcup_{D \in \mathcal{B}_i} D$  as required.